

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Case Docket No. TU9-99-036

0018.0059

November 9, 1999

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11/09/99

Transmitted herewith for filing is the patent application of

Inventor(s): T.W. Bish and G.T. Kishi
METHOD, SYSTEM, AND PROGRAM FOR ACCESSING DATA FROM STORAGE SYSTEMS

jc629 U.S. PTO
09/436506
11/09/99

Enclosed are:

- ☒ 5 No. of Sheets of Drawings Sheet(s) of drawings (☒ informal) + 0 extra copies;
_____ pages of Application; _____ pages of specification, 1 page of abstract
☒ An assignment of the invention to International Business Machines Corporation. (☐ Will follow.)
_____ An associate power of attorney.
_____ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
☒ Declaration and Power of Attorney. (☐ Will follow.)
_____ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
_____ IDS enclosed. _____ with references.
☒ Preliminary Amendment

CALCULATION OF FEES

ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	27 - 20* =	7	X \$9 or \$18	\$126	
B INDEPENDENT CLAIMS FEE**	3 - 3* =	0	X \$39 or \$78	\$0	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					126
D MULTIPLE-DEPENDENT CLAIMS FEE				SMALL ENTITY FEE = \$130; LARGE ENTITY FEE = \$260	\$0
E BASIC FEE*				SMALL ENTITY FEE = \$380; LARGE ENTITY FEE = \$760	\$760
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$886
G ASSIGNMENT RECORDING FEE				\$ 40	\$40
**LIST INDEPENDENT CLAIMS 1, 10, 19					

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A copy of this sheet is enclosed.

- ☒ A check in the amount of \$ 886 to cover the filing fee is enclosed.
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_____ Any patent application processing fees under 37 CFR 1.17.
_____ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
_____ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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PATENT
TU9-99-036
0018.0059

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
T.W. Bish
and G.T. Kishi
Serial No.: --
Filed: November 9, 1999
For: **METHOD, SYSTEM, AND
PROGRAM FOR ACCESSING DATA
FROM STORAGE SYSTEMS**

Examiner: --

Art Unit: --



CERTIFICATE OF MAILING

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL399539193US

I hereby certify that patent application papers, including specification, claims and 5 sheets of informal drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

November 9, 1999

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)

Patricia McLaughlin
(Signature)